

## Interview Summary

Application No.

10/636,125

Applicant(s)

PHELPS, ROBERT B.

Examiner

Vanel Frenel

Art Unit

3627

All participants (applicant, applicant's representative, PTO personnel):

(1) Vanel Frenel.

(3) William Ellis.

(2) Zeender Ryan Florian (SPE AU 3627).

(4) Robert B. Phelps.

Date of Interview: 12 September 2007.

Type: a) ☐ Telephonic b) ☐ Video Conference

c) ☒ Personal [copy given to: 1) ☒ applicant 2) ☒ applicant's representative]

Exhibit shown or demonstration conducted: d) ☐ Yes e) ☒ No.

If Yes, brief description: \_\_\_\_\_.

Claim(s) discussed: Claim 1.

Identification of prior art discussed: Halley et al (4,969,094) and Baks (2003/0018498).

Agreement with respect to the claims f) ☐ was reached. g) ☐ was not reached. h) ☒ N/A.

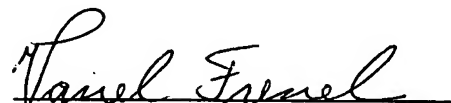
Substance of Interview including description of the general nature of what was agreed to if an agreement was reached, or any other comments: Examiners and Applicant's Representative discussed about claim 1. Suggestions are made to better recite the claimed invention by clarifying active steps of claims 1, 9, 17. Examiner will reconsider the applied references in light of amendments in accordance with changes discussed, and update his search.

(A fuller description, if necessary, and a copy of the amendments which the examiner agreed would render the claims allowable, if available, must be attached. Also, where no copy of the amendments that would render the claims allowable is available, a summary thereof must be attached.)

THE FORMAL WRITTEN REPLY TO THE LAST OFFICE ACTION MUST INCLUDE THE SUBSTANCE OF THE INTERVIEW. (See MPEP Section 713.04). If a reply to the last Office action has already been filed, APPLICANT IS GIVEN A NON-EXTENDABLE PERIOD OF THE LONGER OF ONE MONTH OR THIRTY DAYS FROM THIS INTERVIEW DATE, OR THE MAILING DATE OF THIS INTERVIEW SUMMARY FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF THE SUBSTANCE OF THE INTERVIEW. See Summary of Record of Interview requirements on reverse side or on attached sheet.

 9/12/07  
F. RYAN ZEENDER  
SUPERVISORY PATENT EXAMINER

Examiner Note: You must sign this form unless it is an Attachment to a signed Office action.

  
Examiner's signature, if required

***IN THE UNITED STATES PATENT AND TRADEMARK OFFICE***

Applicant: Robert B. PHELPS  
Title: METHOD OF ENHANCING VALUE  
OF PENSION PLAN ASSETS  
Appl. No.: 10/636,125  
Filing Date: 08/07/2003  
Examiner: Vanel Frenel  
Art Unit: 3627  
Confirmation Number: 1568

**ASSOCIATE POWER OF ATTORNEY**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned inventor, hereby grants the following person an associate power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof and to transact all business in the United States Patent and Trademark Office connected therewith,

William T. Ellis, Eg. No. 26,874

Executed this 17<sup>th</sup> day of August, 2007.

By: \_\_\_\_\_

(Signature)

ROBERT B. PHELPS

(Printed Name)

Inventor

(Title)